

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 09/488,971  
Applicant : William J. Baer et al.  
Confirmation No. : 5172  
Filed : January 21, 2000  
TC/A.U. : 2176  
Examiner : William L. Bashore  
Customer No. : 46157  
Docket No. : 0920.0039C  
Title : Method and System for Moving Content in a Content Object  
Stored in a Data Repository

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 & 1.98**

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicant would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08A).

Applicant hereby submits the attached IDS under:

- ☒ 37 C.F.R. 1.97(b) (i.e., within three months of the filing date of the application; within three months of the date of entry of the national stage application; before the mailing of a first Office action; or before the mailing of a first Office action after the filing of a request for continued examination).
- ☐ 37 C.F.R. 1.97(c) (i.e., after the mailing of a first Office action, but before the close of prosecution). The IDS is accompanied by *one* of: The appropriate statement (indicated on Form PTO/SB08a) or (2) the fee set forth in § 1.17(p).
- ☐ 37 C.F.R. 1.97 (d) (i.e., after the close of prosecution, but on or before payment of the issue fee). The IDS is accompanied by *both* of (1) the appropriate statement (indicated on Form PTO/SB08a) and the fee set forth in § 1.17(p).

☐ The IDS cites non-English documents. Pursuant to 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance is provided as indicated below:

☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Applicant submits an English-language version of the search report or action, which cites such non-English language publication(s), as well as indicates the degree of relevance found by the foreign office.

☐ Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Applicant submits an English language abstract of the non-English publication(s).

☐ Other:

☒ The IDS cites non-patent publications. Applicant submits copies of the publications.

☐ Pursuant to 37 C.F.R. 1.98(a)(2)(iii), enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

☐ No copies of the non-English or non-patent publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_, to which the above-identified application claims priority under 35 U.S.C. §120.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The Commissioner is hereby authorized to charge payment of any fees required for the above-identified application or credit any overpayment to Deposit Account No. 09-0460.

Dated: December 21, 2006

Respectfully submitted by:

**EDELL, SHAPIRO & FINNAN, LLC**  
**CUSTOMER No. 46157**  
1901 Research Boulevard, Suite 400  
Rockville, MD 20850  
(301) 424-3640

/Stuart B. Shapiro/  
Stuart B. Shapiro  
Reg. No. 40169

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09488971
	Filing Date		2000-01-21
	First Named Inventor	William J. Bear	
	Art Unit	2176	
	Examiner Name	William L. Bashore	
	Attorney Docket Number	0920.0039C	

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	5634064		1997-05-27	Warnock et al.		
	2	5905973		1999-05-18	Yonezawa et al.		
	3	6331865	B1	2001-12-18	Sachs et al.		
	4	6411993	B1	2002-06-25	Reynolds et al.		
	5	5644736		1997-07-01	Healy et al.		
	6	6606633	B1	2003-08-12	Tabuchi		
	7	6886036	B1	2005-04-26	Santamaki et al.		
	8	7007034		2006-02-28	Hartman, Jr. et al.		

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	9	7089239	B1	2006-08-08	Baer et al.	
	10	6611840	B1	2003-08-26	Baer et al.	
	11	7043488	B1	2006-05-09	Baer et al.	
	12	7076494	B1	2006-07-11	Baer et al.	
	13	6986102	B1	2006-01-10	Baer et al.	
	14	6839701	B1	2005-01-04	Baer et al.	
	15	6449627	B1	2002-09-10	Baer et al.	
	16	6249905	B1	2001-06-19	Yoshida et al.	
	17	6446077	B2	2002-09-03	Straube et al.	
	18	5960411		1999-09-28	Hartman et al.	
	19	6470171		2002-10-22	Helmich et al.	

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If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	ADAM ET AL., "Strategic Directions in Electronic Commerce and Digital Libraries: Towards a Digital Agora", ACM Computing Surveys, vol. 28, issue 4(December 1996), pages 818-835.	<input type="checkbox"/>
	2	HENKE, HAROLD, "The Global Impact of eBooks on ePublishing", Proceedings of the 19th annual international conference on Computer documentation, 2001, pages 172-179.	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	
Name/Print		Registration Number	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.